

Stakeholders

We engage with stakeholders to help them understand our work and provide them with up-to-date regulatory information.

During the quarter, we supported three industry events and our senior executives spoke at 11 local and international conferences. We also met with industry associations to understand their views on regulatory issues. At a compliance roundtable with over 150 industry participants hosted by Hong Kong Exchanges and Clearing Limited on 18 September, we discussed the key issues identified in our thematic review of sponsors and our expected standards for sponsor work.

We supported the Hong Kong FinTech Week 2019 Main Conference on 6-7 November as a regulatory partner and our Chief Executive Officer Mr Ashley Alder delivered a keynote speech on regulatory issues related to the development of financial technology.

We released the following publications in the quarter:

- The *Asset and Wealth Management Activities Survey 2018* provided a comprehensive overview of the asset and wealth management industry in Hong Kong.

- The *Half-yearly Review of the Global and Local Securities Markets* highlighted the performance of major stock markets as well as risks and uncertainties facing Hong Kong and international markets.
- The *Financial Review of the Securities Industry* presented statistics on the financial positions of securities dealers and securities margin financiers as well as the financial performance of SEHK¹ participants in the first half of 2019.
- The September issue of the *Takeovers Bulletin* discussed the Takeovers Panel's recent decision concerning a mandatory general offer obligation and reminded listed companies about revisions to Practice Note 19².

We issued 14 circulars informing industry participants about a wide range of matters, including the protection of client assets, new Guidelines for Securities Margin Financing Activities and the launch of the Key Risk Indicator Platform³.

Publications and other communications

	Quarter ended 30.9.2019	Six months ended 30.9.2019	Six months ended 30.9.2018	YoY change (%)
Press releases	30	64	66	-3
Consultation papers	1	2	4	-50
Consultation conclusions	0	2	5	-60
Industry-related publications	5	7	8	-12.5
Codes and guidelines ^a	3	5	5	0
Circulars to industry	14	40	44	-9.1
Corporate website average daily page views ^b	38,780	53,945	58,263	-7.4
General enquiries	1,750	3,298	3,539	-6.8

^a Includes updates to previous versions.

^b The average number of web pages browsed per day during the reporting period.

¹ The Stock Exchange of Hong Kong Limited.

² Practice Note 19 provides guidance on how to determine a chain principle offer price.

³ The platform allows the SFC to collect and analyse key risk indicator data from certain licensed corporations.